

Corollary Let  $X$  be a NLS

- (a) If  $x \in X$ ,  $\exists \varphi \in X^*$  s.t.  $\|\varphi\|=1$  &  $\varphi(x)=\|x\|$
- (b)  $\|x\| = \sup_{\|\varphi\|=1} |\varphi(x)|$
- (c) The bdd linear functionals separate points.  
(in other words, given  $x, y \in X$  s.t.  $x \neq y$   
 $\exists \varphi \in X^*$  s.t.  $\varphi(x) \neq \varphi(y)$ .)
- (d) For  $x \in X$ , define an element  $F_x \in X^{**}$  by setting  
 $F_x(\varphi) = \varphi(x)$  (note that  $F_x : X^* \rightarrow \mathbb{R}$ ).

The map  $x \mapsto F_x$  is a linear isometry  $X \rightarrow X^{**}$

Proof: (a) Set  $Y = \text{span}(x)$  &  $\varphi(\lambda x) = \lambda \|x\|$

(b) Set  $A = \sup_{\|\varphi\|=1} |\varphi(x)|$ .

Then  $A \leq \sup_{\|\varphi\|=1} \|\varphi\| \|x\| = \|x\|$ .

Conversely let  $\hat{\varphi}_x$  be the functional s.t.  $\|\hat{\varphi}_x\|=1$  &  $\hat{\varphi}_x(x) = \|x\|$

Then  $A \geq |\hat{\varphi}_x(x)| = \|x\| = \|x\|$ .

(c) If  $x \neq y$ ,  $\exists \varphi \in X^*$  s.t.  $\varphi(x-y) = \|x-y\| \neq 0$ .

Then  $\varphi(x) \neq \varphi(y)$

(d) It is obvious that  $F_x \in X^{**}$  since  $|F_x(\varphi)| \leq \|\varphi\| \|x\|$ . (\*)  
It is simple to prove that the map  $x \mapsto F_x$  is linear.  
It remains to prove isometry.

(\*)  $\Rightarrow \|F_x\| \leq \|x\|$

Conversely, let  $\varphi_x$  be the element from (a) s.t.

$\|\varphi_x\|=1$  &  $\varphi_x(x) = \|x\|$ . Then

$\|F_x\| = \sup_{\varphi \in X^*} |\varphi(x)| \geq |\varphi_x(x)| = \|x\|$ .

Let  $X$  be a NLS.

Set  $\hat{X} = \{f_x : x \in X\}$ .

Then (i)  $\hat{X} \subseteq X^{**} \leftarrow$  a Banach space

(ii)  $\hat{X}$  is isomorphic to  $X$ .

Thus, the topological closure  $\bar{\hat{X}}$  of  $\hat{X}$  in  $X^{**}$  is isomorphic to the completion  $\bar{X}$  of  $X$ .

This is an alternative way of defining the ~~class~~ completion of  $X$ .

(Does not work for general metric spaces.)

### WEAK CONVERGENCE

Def<sup>n</sup> Let  $X$  be a Banach space.

We say that  $x_n$  converges weakly to  $x$ ,  $x_n \rightharpoonup x$ , if  $\varphi(x_n) \rightarrow \varphi(x) \forall \varphi \in X^*$ .

(The weak topology is the smallest top in which all  $\varphi \in X^*$  are continuous. A subbase is given by the sets

$$B_\epsilon^\varphi(x) = \{y \in X : |\varphi(x) - \varphi(y)| < \epsilon\} \quad \epsilon > 0, x \in X, \varphi \in X^*$$

Example  $X = l^2(\mathbb{N})$   $e^{(n)}$  canonical basis.

Then  $e^{(n)} \rightharpoonup 0$ .

To prove this, pick  $\varphi \in X^*$ .

We know  $\exists y \in X$  s.t.  $\varphi(x) = \langle x, y \rangle \forall x$ .

Then  $\varphi(e^{(n)}) = \langle e^{(n)}, y \rangle = y_n \rightarrow 0$  as  $n \rightarrow \infty$ .

\* Funny consequence: Set  $S = \{x \in X : \|x\| = 1\}$ .

Then  $e^{(n)} \in S \ \forall n$ ,  $e^{(n)} \rightarrow 0$ , so

$$0 \in \bar{S}^w = \{x \in X : \exists x_n \in S \text{ s.t. } x_n \xrightarrow{w} x\}$$

↑ Closure of  $S$  in weak topology.

In fact:  $\bar{S}^w = \{x \in X : \|x\| \leq 1\}$ .

So the surface of the unit ball is dense in the unit ball!  
(Homework!)

Thm IF  $x_n \xrightarrow{w} x$ , then  $\|x\| \leq \liminf_{n \rightarrow \infty} \|x_n\|$

Proof  $\|x\| = \sup_{\|\varphi\|=1} |\varphi(x)| = \sup_{\|\varphi\|=1} \lim_{n \rightarrow \infty} |\varphi(x_n)| \leq \liminf_{n \rightarrow \infty} \sup_{\|\varphi\|=1} |\varphi(x_n)| = \liminf_{n \rightarrow \infty} \|x_n\|$   
=  $\|x_n\|$

Discuss the fact that  $B$  is compact; how weak topologies are useful in existence proofs, etc.

Prop<sup>n</sup> Let  $X$  be a ~~normed~~ Banach space, let  $\Omega \subseteq X$ , and set

$$\bar{\Omega} = \{x \in X : \exists x_n \in \Omega \text{ s.t. } x_n \rightarrow x\}$$

$$\bar{\Omega}^w = \{x \in X : \exists x_n \in \Omega \text{ s.t. } x_n \xrightarrow{w} x\}$$

(i)  $\bar{\Omega} \subseteq \bar{\Omega}^w$  always.

(ii) IF  $\Omega \subseteq X$  a linear space, then  $\bar{\Omega} = \bar{\Omega}^w$

Proof: (i) Pick  $x \in \bar{\Omega}$ . Then  $\exists x_n \in \Omega$  s.t.  $x_n \rightarrow x$ .

But then  $x_n \xrightarrow{w} x$  so  $x \in \bar{\Omega}^w$

(ii) Homework

Now let us consider the space  $\mathcal{X}^*$ . We already know two types of conv.:

$\varphi_n \rightarrow \varphi$  in norm if  $\|\varphi_n - \varphi\| \rightarrow 0$  as  $n \rightarrow \infty$

$\varphi_n \xrightarrow{w} \varphi$  weakly if  $F(\varphi_n) \rightarrow F(\varphi)$  as  $n \rightarrow \infty \quad \forall F \in \mathcal{X}^{**}$

We define a third mode of convergence:

$\varphi_n \xrightarrow{w^*} \varphi$  weak-\* if  $\varphi_n(x) \rightarrow \varphi(x)$  as  $n \rightarrow \infty \quad \forall x \in \mathcal{X}$

$$\Leftrightarrow F_x(\varphi_n) \rightarrow F_x(\varphi) \text{ as } n \rightarrow \infty \quad \forall x \in \mathcal{X}.$$

Since  $\mathcal{X} \subset \mathcal{X}^{**}$ , weak-\* is a weaker topology than the weak one.

If  $\mathcal{X}$  is reflexive,  $\mathcal{X} = \mathcal{X}^{**}$ , then weak & weak-\* topologies are the same.

Hahn-Banach  $\Rightarrow$  weak-\* top is always Hausdorff.

The weak-\* topology is very useful due to the following fact.

Set  $S^* = \{\varphi \in \mathcal{X}^* : \|\varphi\| \leq 1\}$ . It is very desirable that  $S^*$  be compact

$S^*$  is compact in the ~~strong~~ norm top  $\Leftrightarrow \mathcal{X}$  is finite-dimensional

$S^*$  is compact in the weak top  $\Leftrightarrow \mathcal{X}$  is reflexive

$S^*$  is compact in the weak-\* top  $\leftarrow$  Always!

Thm Let  $\mathcal{X}$  be a NLS.

Alaoglu's thm ~~The set  $S^* = \{\varphi \in \mathcal{X}^* \text{ st.}$~~

Let  $S^*$  denote the closed unit ball in  $\mathcal{X}^*$ .

Then  $S^*$  is a compact Hausdorff space in the weak-\* topology.

Thm Let  $\mathcal{X}$  be a Banach space and let  $S$  denote its closed unit ball.

Then  $S$  is compact in the weak top  $\Leftrightarrow \mathcal{X}$  is reflexive.